

12-20-01  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1741

Applicant: Teruo Umemoto  
Serial No.: 09/939,141  
Filed: August 24, 2001  
Title: METHOD FOR PREPARING POLYMERS CONTAINING CYCLOPENTANONE STRUCTURES

Examiner:  
Group Art Unit: 1741  
Docket: 40222.0003US01

DEC 12 2001



CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV 036449428 US

Date of Deposit: December 12, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

By: *Paula G. Egolf*  
Paula Egolf

19/2

Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- Transmittal Sheet in duplicate containing Certificate of Mailing
- Information Disclosure Statement, Form 1449, 9 References
- Return postcard

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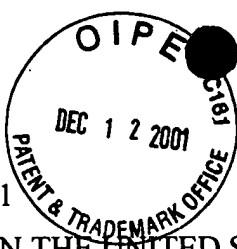
Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
(303) 357-1645



By: *P.J. Prendergast*  
Name: Paul J. Prendergast  
Reg. No.: 46,068  
PJPrendergast

303 352 1150 Axel  
303 260 6353 Kim  
303 629 3450 Fux



S/N 09/939,141

PATENT

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By: *Paula E. Eggle*

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked

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as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Dated: December 12, 2001

Respectfully submitted,



Paul J. Prendergast, Reg. No. 46,068  
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